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# Coupling Behaviour of Autogenous And Autonomous Self-Healing Techniques for Durable Concrete

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# 11 Abstract

Recent research on self-healing concrete has shown some drawbacks and conflicts between the 12 different techniques such as difficulty in casting, healing agent release, preparation complexity, 13 high safety requirements against bacteria protection, undesirable expansion, and uncertainty in 14 healing product generation. Despite these limitations, the hybrid technique was suggested and 15 showed promising results. This paper explores the hybridization of the two techniques; 16 autonomous and autogenous by utilizing the B. subtilis bacteria, mineral admixtures like fly 17 ash, and Polyvinyl alcohol fibers (PVA) together. The experimental program involves 18 assessing the self-healing efficiency when coupling the bacteria, fly ash, and PVA fiber by 19 assigning six mixtures, including a control OPC. The six mixtures encountered the Bacteria 20 addition at certain concentrations and varying PVA fiber percentages; 1, 1.5, and 2% while 21 partially replacing the cement replacement with 20% fly ash, while the last mixture combines 22 both the bacteria, fly ash and 1% PVA fiber. Mechanical properties such as compressive and 23 flexural strength, in addition to, water absorption and sorptivity as transport properties were 24 examined for concrete repair and restoration purposes. The results reveal that the B. subtilis 25 bacteria significantly enhance the compressive and flexural strength recovery along with 26 lowering sorptivity and absorption rate compared to those with PVA addition when exposed to 27 wet and dry cycles of curing at 28 days of age. The coupling effect, on the other hand, provides 28 a substantial gain in strength of 63% at a longer age (56 days), indicating the potential of this 29 approach for long-term concrete repair. Despite the challenges of the B. subtilis survival 30 bacteria, the coupling of both bacteria and PVA fiber demonstrates superior performance in 31 maintaining the durability of repaired concrete in the long term. 32

Keywords: self-healing techniques; absorption; durability; mechanical properties; concrete
 repair; crack widths.

# 35 Compliance with Ethical Standards

- The authors declare that they have no conflict of interest.
- This article does not contain any studies with human participants or animals performed by
  any of the authors.
- The authors declare that they have no known competing financial interests or personal relationships that could have appeared to influence the work reported in this paper.
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 repair; crack widths.

# 30 1. Introduction

Concrete exhibits cracking as the sustained service load is applied which develops 31 tensile stresses at the extreme fiber of the beam element or excessive compression load on 32 compression element as columns. These cracks might inevitably find their path through the 33 porousness of the concrete. Hence, this cracking would shorten the durability and damage 34 the endurance life of the structures, in addition to the maintenance cost that would be 35 36 required to repair or rehabilitate the damaged structure [1]. Eventually, this cracking has different causes and possesses various paths, however, they commonly start internally 37 through microcracking and end up with concrete fracture, in addition to steel reinforcing 38 bars experiencing corrosion, especially structures in coastal areas [2]. Generally, 39 infrastructures that are more than 50 years old are susceptible to deterioration and 40 comprehensively require maintenance [3]. Japan adopted self-healing as a promising 41 technique when dealing with inherent cracks in concrete elements [4, 5]. 42

The self-healing techniques are divided into two main techniques; autogenic and 43 autonomic self-healing of the concrete. Several investigations reported that small cracks in 44 concrete can heal under the effect of 'autogenous healing' or 'self-healing' of concrete. 45 Usually, this action takes place through, physical, and mechanical processes of the concrete 46 mix ingredients based on calcite crystallization to form calcium carbonate along with water 47 and CO<sub>2</sub> [4 - 6] without using any external stimulation, however, crack closure can reach 48 less than 0.18 mm. On the contrary, the cracks repaired autonomously usually incorporate 49 50 a specific healing agent within the matrix which varies in type and chemical-based mechanism. Recently the possible addition of bacteria admixture, micro additives, micro-51 grains, microcapsules, and nanomaterials without external intervention as the self-healing 52 agent has also been considered. Wiktor & Jonkers [7] and Nishiwaki et al. [8] reported that 53 incorporating the bacteria involves calcium carbonate as a biological precipitation of cracks 54 through the  $CO_2$  reaction. Their research aimed to quantify the healing potential of two-bio-55 chemical self-healing agent components embedded in expanded clay particles, acting as 56 reservoir particles and partially replacing coarse aggregate. These components are released 57 by crack ingress water and fill the cracks in the concrete mixture. 58

On the other hand, many researchers [9 - 15] investigated other methods for triggering 59 the healing agents (adhesives) whether embedded in capsule or vascular networks as the 60 mixing process. Nishiwaki et al. [8, 9] used a heating device that triggered parts of concrete 61 through pipes with a plastic organic film encapsulated by the repair agent which releases as 62 soon as it melts when heating filling the crack and hardening, recovering the strength of the 63 concrete mixture. Their concerns lie in the bacteria's survival within the concrete matrix as 64 the concrete process from the mixing and pouring environment might not be appropriate for 65 their survival with additional concerns about long-term survival through the life cycle of the 66 67 structure [8, 9]. Another study by Dry [10] reported the timing and location release of sealants, adhesives, and waterproofing encapsulators placed internally to acquire the 68 durability required. Their results showed that some of these encapsulations may appear in 69 70 the fibers but could possess the chemicals where and when the matrix cracks, causing the fiber to crack and release chemicals. Tittelboom et al. [11] explore the utilization of computed 71 tomography and visual observation of crack faces while using an encapsulated healing agent 72 embedded in the mortar matrix to obtain self-healing properties. Their results showed the cracks 73 filling with a healing agent and more than 50% of strength was recovered. Further, they reported 74 that the water permeability would reduce and hence the proposed autonomic technique can 75 76 resort partially to the concrete properties.

77 Joseph et al. [12] investigated the addition of adhesive-filled glass reservoirs as self-78 healing agents on reinforced mortar beams subjected to two cycles of loading. The results 79 revealed that there was evidence of crack-healing following the first loading cycle and new crack formation during the second loading cycle, in addition, to secondary healing for the new 80 81 secondary cracks. They were motivated to provide more data for the long term in terms of numerical methods. Homma et al. [13] explain the utilization of three fibers (polyethylene (PE), 82 steel cord, and hybrid composites) to examine the self-healing properties fo fiber reinforced 83 cementitious composites (FRCC) through cracking using tension test and retained at 28 days 84 85 after water curing. Their results revealed that the PE fiber has provided the most influence based on the volume in bridging the crack and crystallization products attached easily to the larger 86 87 numbers of PE fibers, in addition to the reduction in permeability coefficient that was achieved. They observed the hydration degree has a slight influence on the self-healing capability. 88

Nishiwaki et al. [14] have examined other various types of synthetic fibers that have 89 90 different chemical properties such as polyvinyl alcohol (PVA), ethylene vinyl alcohol (EVOH), polyacetal (POM), and polypropylene (PP) on FRCC evaluating the self-healing capability of 91 92 FRCC. Their microscopic results showed the fibers' polarity provided a bridging crack through self-healing precipitation and filled the cracks, in addition, reduced the water permeability 93 coefficient and in some cases no water tightness recovery. Nevertheless, they ensured that the 94 chemical properties of the fibers and geometrical properties of the crack surface from 95 roughness, complexity, and continuity of the fibers influence the self-healing properties in 96 terms of water tightness. Mauser et al. [15] stated that the autogenous methods mainly depend 97 on mineral admixtures such as fly ash and fiber addition, such as polyvinyl alcohol (PVA) 98 fibers. Their investigation revealed that these additives have great potential for the self-99 healing process in concrete and provide good results in real applications under certain curing 100 conditions. These fibers could help in enhancing self-healing through the process of 101 controlling the crack and accelerating CaCO<sub>3</sub> precipitation. Recent studies by Liang et al. 102 [16] and Hammad et al. [17] showed that these fibers (PVA) have provided high polarity 103 synthetic composite and act as a bridge through the crack [17]. 104

Although the above-mentioned techniques are widely used, several researchers [18 - 21]105 observed various challenges and limitations when applying mineral admixture and PVA 106 additives, utilizing the triggers and microcapsules systems. These challenges can be limited by 107 the chemical triggers existing within the solution and along the fibers including, pH values and 108 ions charge on the fiber surfaces. For instance, Dong et al. [18] established a microcapsule 109 system to contain the chemical self-healing for cementitious composites. The survival 110 challenges could be overcome by capsulation; however, it is required to hatch the healing 111 agent through activation of the healing process. Method of the EDTA (Ethylene Diamine 112 Tetra- acetic Acid) titration method was utilized to release the corrosion inhibitor healing 113 agent from the microcapsule covered by polystyrene resin (PS). This is functioned by time 114 and wall thickness of the microcapsule. Nevertheless, the corrosion inhibitor release rate is 115 116 noticeably influenced by the pH value which increases with the decreasing pH value, which is a bit not controllable. On the other hand, Lv et al. [19] developed a polymeric 117 microcapsule type utilizing the phenol formaldehyde (PF) resin as a shell and 118 dicyclopentadiene (DCPD) as a healing agent for self-healing microcracks in cementitious 119 materials. Their results provided that the microcapsules have excellent stability in hatching 120 the microcapsules by crack trigger and releasing the healing agent in a stable manner healing 121 122 the cracks simultaneously, however, no rate or insurance that the microcapsules will survive for the long term was explored or investigated. Xu et al. [20] used ultrasonic wave-induced 123 to trigger the microcapsules for healing agent release. They found that this method was 124 controllable and cost-effective. The results revealed the strength recovery for mixes 125 triggered by ultrasonic were 2 – 4 times higher than mechanical-triggered ones. Yet still it 126 127 is not manageable to trigger the healing agent by ultrasonic.

Consequently, although several trials were proposed to overcome the survival problems using trigger additives, vessels, and microcapsules, other researchers [21, 22] have suggested having the coupled effect using fibers and bacterial admixtures. Feng et al. [21] investigated the utilization of hybrid techniques by using bacteria and fiber as it has the potential to enable excellent self-healing performance in concrete. Their experimental program studied the coupled effect of PP fiber, PVA fiber, and bacteria on the self-healing efficiency of concrete. The results revealed that PP and PVA fiber would reduce the bacteria

concentration. The crack width of 300–500 mm was healed within specimens with bacteria 135 and fiber than that with bacteria only. Further, the water tightness and flexural strength 136 recovery were improved as a result of calcium leaching and calcium ions utilization 137 138 deposition on fiber surfaces. In addition, they found that the PVA fibers filled the cracks with polarity groups and cell structure of polyvinyl alcohol fiber on calcium carbonate 139 nucleation. Furthermore, Qiu et al. [22] studied the inclusion of slag-based ECC as it has the 140 141 potential for autogenous healing. Several factors: slag content, crack width, and environmental alkalinity, on the efficiency of the autogenous healing of ECC were explored. They observed that 142 143 the single-cracked ECC specimens with different slag content and crack width under conditioned underwater or NaOH/dry cycles. The healing results revealed the coupling effects of crack width, 144 145 slag content, and alkalinity conditions. However, drawbacks such as limited maximum allowable crack width which could be only partial or no healing. The CaCO3 could be produced as the main 146 healing component under water/dry conditioning while the NaOH/dry cycles condition initiates 147 the slag hydration for 24 hours and results in the formation of C-S-H and CaCO<sub>3</sub>. It is concluded 148 that CaCO<sub>3</sub> precipitation is more effective in engaging autogenous healing than the formation of C-149 S-H. The concept of associating allowable crack width and slag content is proposed, which would 150 guide ingredient selection and component tailoring to engage robust autogenous healing in ECC in 151 152 the future.

In the previous studies, the coupling effect of the two techniques autogenous and 153 autonomous has the potential to overcome many challenges instead of utilizing other 154 chemical additives or encapsulated systems. Yet still fewer researchers explored this 155 combination as mentioned earlier. Nevertheless, gaps remain not demonstrated on the 156 impact of the autonomous in comparison to autogenous self-healing in repairing the pre and 157 post-cracking of the damaged elements and the restoration of their mechanical properties. 158 Thus, this study explores the coupling effect utilizing bacteria, PVA fibers, and mineral 159 admixtures such as fly ash, observing the improved properties and self-healing capacity of 160 concrete in terms of mechanical properties restoration represented in compression and 161 flexural strength. In addition, it introduces the hybridization techniques of the two self-162 healing techniques to stand on their impact in enhancing and restoring the compression and 163 flexural strength of the cube and prism specimen as a demonstration for further investigation 164 of structural elements under operation while managing to explore the durability 165 performance of the self-healed produced concrete using permeability and water absorption 166 167 tests.

#### 168 2. Research Significance

Recent literature compares the results of the two available techniques: autonomic and 169 autogenous with other systems to trigger the healing agent whether through encapsulation 170 or vessel system. Usually, the aim is to overcome the many challenges from bacteria 171 survival or healing agent release on time and location of repairing the crack. Other 172 drawbacks involve the bacteria accommodation in the concrete mixture. The deposition of 173 CaCO<sub>3</sub> on the surface of the fiber made the possibility of achieving the location and timing 174 required by the bacteria with the contribution of mineral admixtures such as fly ash and 175 PVA fibers to bridge the crack and fill it. This potential motivates the study to explore more 176 about the pre and post-cracking of the concrete specimens within the mechanical properties 177 in terms of compressive and flexural strength at long aging. This action would ensure crack 178 closure and an impermeable concrete surface for absorbing hazards and deleterious 179

180 materials affecting the reinforcement with corrosion and other defects especially in the 181 coastal environment.

### 182 **3. Experimental Program**

### 183 **3.1 Materials and Mix Design**

#### 184 **3.1.1** Cement

The cement used was Ordinary Portland Cement, grade 42.5 MPa (N/mm<sup>2</sup>). The cement's properties are compatible with European standards EN 197 [23] and Egyptian standards (4756-1 /2007) [24] as provided by the manufacturer. The physical, chemical, and mechanical properties of the cement are described in Table 1.

# 189 **3.1.2 Fly Ash**

Fly ash class F originates from anthracite and bituminous coals. It consists mainly of alumina and silica. Class F fly ash has a lower calcium content than Class C fly ash. The rest of its chemical composition is presented in Table 1. The Additional chemical requirements as per ASTM C 618 [25] are listed in Table 1.

#### 194 **3.1.3 Fine and Coarse Aggregate**

Natural siliceous sand was used as fine aggregate, and crushed dolomite stone was used as
coarse aggregate. Fig. 1 shows the grain size distribution of combined fine and coarse
aggregates. As deduced from Fig. 1, the nominal aggregate size was 12.5 mm. The physical

and chemical properties of fine and coarse aggregates are also represented in Table 2.



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Fig. 1. Sieve analysis of fine and coarse combined aggregate with limit ASTM C33[26]

The fine aggregate utilized silica sands for applications other than building because of their high silica content (more than 95% SiO<sub>2</sub>). Silica sands include a significant quantity of quartz, abundant in silica, and, more importantly, relatively little clay, iron oxides, or chromite, a refractory mineral. Their grain size distribution is typically restricted (0.1 to 2 mm).

Chemical composition in (%)					
Component (%)	OPC	FA Class f	ASTM C 618 [25] Requirements (%)		
SiO <sub>2</sub>	47.09	47.09	70		
Al2O3	17.41	17.41	70		
Fe <sub>2</sub> O <sub>3</sub>	8.34	8.34	70		
CaO	13.98	13.98	-		
MgO	1.85	1.85	-		
SO <sub>3</sub>	4.65	4.65	-		
Na <sub>2</sub> O	2.44	2.44	-		
K <sub>2</sub> O	1.8	1.8	-		
Loss ignition	2.2	1.79	-		
Physical I	properties				
Density (kg/m <sup>3</sup> )	3160	2150	-		
Activity index % (after 28 days)	-	77.5	-		
Activity index % (after 90 days)	-	85.6	-		
Specific gravity	3.16	-	-		
Initial setting time (min)	180	-	-		
Soundness (mm)	1	1	-		
Fineness %	-	22.43	-		
Water Absorption (%)	32	-	-		
loss of ignition (%)	2.2	-	6		
Sulfate content (SO <sub>3</sub> ) %	2.7	-	5		
Blaine fineness $(cm^2/g)$	4100	2469	-		
Reactive SiO <sub>2</sub> %	-	40.34	-		
Blaine $(cm^2/g)$	-	3330	-		
Alkalis%	-	2.46	-		
Free CaO	-	0.03	-		
Color	Grey	Grey (blackish)	-		
Compressive s	Compressive strength (MPa)				
Mechanica	l properties	\$			
1 day	25	-	-		
2 days	38	-	-		
28 days	68	-	-		

Table 1. Physical, chemical, and mechanical properties

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Table 2 shows the physical properties of the fine and coarse aggregate used in this
investigation. Sieve analysis of the combined fine and coarse aggregate used in the mix
design, as shown in Fig. 1. These properties were determined according to ASTM C 33[26],
BS EN 933-1 [27], ASTM C117 [28], ASTM C127[29], ASTM C128 [30], BS 812-2[31],
BS 812-103 [32].

213

 Table 2. Physical and chemical properties of fine and coarse Aggregate

Physical and chemical properties			
Property	FA	CA	
Specific gravity	2.62	2.65	
Volume weight	1.84 MPa	1.73 MPa	
Void ratio	31.0%	33.8%	
Absorption percentile	1.6%	1%	
Fineness modulus	6.62	2.72	
Clay, silt, and dust ratio	0.09%	2.0%	
Percent of chloride	0.03%	0.03%	

#### 214 3.1.4 Polyvinyl Alcohol Fiber

Polyvinyl alcohol (PVA) fiber has been used in the concrete mix as it has a very high
elastic modulus and tensile strength. The properties of the fiber are listed, as shown in Table
3.

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Table 3. Physical and technical properties of PVA fiber

Content	PVA
Length	9 mm
Diameter	0.04 mm
Specific gravity	1.12
Elastic modulus	40 GPa
Tensile strength	1320 MPa
Modulus strength	34 (GPa)
Elongation at break	6.80 %

#### 219 3.1.5 Producing Bacteria and Bacterial Environment

B. subtilis was selected based on ease of availability and quickly cultivated. The typed
culture of B. subtilis (ATCC23857) is a soil-found strict aerobic gram-positive, rod-shaped
bacteria that can withstand high temperatures and produce spores dormant for many years.
Using the MacFarland standard, the fresh bacterium strain was standardized [33] to obtain
108 cells/ml, which was used in this study as adopted by Tian et al.[33].

The bacteria were cultured and grown for the investigation using two culture mediums, 225 NH<sub>4</sub>-YE Nutrient Broth Medium and NH<sub>4</sub>-YE Agar Plate Medium, besides the Tris buffer 226 solution and other components like peptone, yeast extract, and ammonium sulfate, which 227 create the medium of (NH<sub>4</sub>SO<sub>4</sub>). The tris buffer solution was created by 16.20 grams (g) of 228 tris (hydroxyl methyl amino meth) dissolved in about 0.5 liters (L) of distilled water to 229 create a colorless solution that was used to create 1 liter (L) of tris buffer solution. A pH of 230 9.0 was achieved by titrating the solution with 1 molar hydrochloric acid (HCl). The 231 232 remaining volume of 1 L was then filled with distilled water. The NH<sub>4</sub>-YE Nutrient Broth Medium was created with 20 grams of yeast extract and 10 grams of NH<sub>4</sub>SO<sub>4</sub> added to one 233 liter of tris buffer solution in a conical flask. The mixture was then thoroughly agitated until 234 the yeast extract was dissolved. Before inoculating the test organism, the solution was 235 autoclaved according to the usual protocol at 121 °C for 15 min. It was then allowed to cool 236 to room temperature (25 °C). Some species generate the enzyme urease, which degrades 237

urea to create ammonia [2, 14, 34, 35]. The motility and indole confirmed the identity of the
isolate, and urease (MIU) biochemical assays were used to validate the identification of the
bacteria, as reported by Feng et al. [21].

Finally, a microscopic confirmation of the production of calcium carbonate residue was 241 confirmed after verifying the organism's motility, indole, and urease identities using the 242 MIU (Motility Indole Urea) test. Then, Microscopic analysis revealed the organism's 243 capacity to precipitate calcium carbonate. CaCl<sub>2</sub>H<sub>2</sub>O and urea (3 g in 100 ml of sterile 244 distilled water) were added to the nutritional solution after sterilizing it for 15 minutes at 245 121 °C [2, 21]. After allowing it to cool, the organism was injected into it. Following seven 246 days of interval incubation at the optimum temperature and pH on days 0, 7, 14, 21, and 28 247 on an orbital shaker, this was then studied under a microscope. The precipitation of CaCO<sub>3</sub> 248 by the organisms following it, which was Gram's stained and observed under the 249 microscope, demonstrated the precipitation of calcium carbonate. 250

After that, a nutrient broth medium was used to subculture the B. subtilis strain. The medium was serially diluted to provide 10<sup>8</sup> cells/ml before being introduced using a sterile loop from the Petri plate holding the organism. The nutrient broth was injected in a ratio of 1:10 into a 250 ml conical flask that contained 200 ml of the sterile culture medium and was then covered with cotton wool and aluminum foil to prevent contamination. The culture media were incubated at 30 °C and then moved to an orbital shaker at 130-300 revolutions per minute (rpm) for 10 days to ensure the maximum growth of the bacteria.

The test isolates and preserves the pure culture of B. subtilis, initially kept on nutrient agar slants, producing uneven, dry, white colonies. Before inoculating into the prepared medium, it was subculture regularly to get new culture. The culture procedure was carried out in a sterile environment [2]. Fig.2 depicts the prepared 250 ml medium (Nutrient broth) before autoclaving and the cultivated bacteria solution following shaking.



(a)



(b)

#### 263

Fig. 2. Bacterial solution

#### 264 **3.2 Concrete mix design**

The concrete mixes were designed through the ACI 211.1-91 [36], including six mixes containing bacteria, and PVA fiber added while having a control mix without any additional minerals or admixtures for comparison purposes. Three of the six mixes include PVA fibers at different volume percentiles ranging from 1 to 2%, while the sixth mix combines PVA fiber and bacteria. The other two mixes; one represents the use of OPC as control and the

other uses bacteria only. Tables 1, 2, and 3 show the physical properties of cement, fly ash, 270 PVA fiber, and fine and coarse aggregate used for mix design. Table 4 presents the concrete 271 mix design for a one-meter cube. The target strength of the concrete was C32 grade and the 272 273 water-to-cement ratio of 0.43 for preparing the specimens. The following specimens were prepared for each test variable; 126 cubes of side dimensions of 150 mm and 108 prisms 274 dimensioned 100 x 100 x 500 mm. The six mixtures include one control mix, one bacterial 275 mix, three PVA mixes at different percentiles, and one combined mix, bacterial with PVA 276 at 1.0% by volume fraction (VF). As shown in Table 4, the control mix was donated by 277 "OC," while "BC denoted the bacterial concrete mix. In addition, those with PVA were 278 denoted by "PVAC". While the "1" indicates the percentile of PVA fiber added. For 279 instance, "1" means 1 percent of PVA volume fraction was added, while "1.5" and "2" 280 stands for 1.5 and 2 percent of PVA volume fraction. Only the sixth mix was represented 281 by "BC+PVAC1," which combines bacterial and PVA fiber of VF of 1.0%. The selection 282 of the volume fraction of PVA was based on the study carried out by Srinivasa et al. [37]. 283 The study investigated the utilization of PVA from 0 to 2% through collected data from 284 literature and found that the results are more reliable when using PVA volume fraction 285 286 ranges between 1 to 2%, in addition, it provides an enhancement to compressive and tensile strength. Based on this research, it was deduced that the suitable PVA volume fraction 287 would be between 1 to 2%, hence, three PVA volume fraction was selected, 1, 1.5, and 2%. 288 Table 4. Details of concrete mix design 289

ID	Water, liter /m <sup>3</sup>	Cement content, kg/m <sup>3</sup>	Fly Ash, <b>kg/m³</b>	Fine Aggregate, <b>kg/m<sup>3</sup></b>	Coarse Aggregate, <b>kg/m<sup>3</sup></b>	Bacteria	PVA Addition (%) by volume
OC	172	400	120	550	1165		
BC	172	400	120	550	1165	Count of 1.08*10 <sup>8</sup> cells/ml, solution concentration of 600 nm/liter	
PVAC1	172	400	120	550	1165		1%
PVAC1.5	172	400	120	550	1165		1.50%
PVAC2	172	400	120	550	1165		2%
BC+ PVAC1*	172	<mark>400</mark>	<mark>120</mark>	<mark>550</mark>	<mark>1165</mark>	Count of 1.08*10 <sup>8</sup> cells/ml, solution concentration of 600 nm/liter	1.0%

290 \*The PVA fiber Volume fraction was elected based on the optimized values in terms of workability and hardening 291 properties of mixes with PVA fiber volume fraction mixes; PVAC1, PVAC2, and PVAC3.

#### 292 3.3 Mixing Process

The study's objective is to observe the influence of two mechanisms, autonomic and autogenous methods, for healing the cracked concrete and developing its strength and other durability properties. In addition, the influence of replacing cement with binding materials such as fly ash on autogenous self-healing and closure of the cracks. A further combination of the two mechanisms was considered and their influences on crack closure were reported as shown later in Fig. 3, 8, and 10. This objective was extended to observe the influence ofcombining the two mechanisms on the closure of concrete cracking as well.

300 The concrete mixtures were produced following the procedures outlined by Zhou et al.[38]. Zhou et al.[38] adopted the sequence of adding dry components from cement and 301 fly ash first together while mixing for 2 minutes at low speed (600 rpm) in the electric 302 concrete mixer. Then, an appropriate solids amount (2/3 of the total volume) was mixed 303 with half of the calculated mixing water for 2 minutes at low speed. the fine aggregate (sand) 304 was, then added incrementally over 1 minute while continuing to mix at low speed. The 305 mixture was mixed for an additional 2 minutes after the full addition of sand. The PVA 306 fibers were slowly added over 1 minute at low speed. The mixture was left to sit undisturbed 307 for 1 minute without mixing. Then mix at high speed (4000 rpm) for 2 minutes to uniformly 308 distribute the fibers. The remaining mixing water was incrementally added over 1 minute at 309 low speed. The final mixing was conducted at high speed for 2 minutes to produce a 310 homogenous mixture. In the case of mixtures including bacteria, the calculated bacterial 311 solution was injected into the continuously mixing concrete using an injection device. This 312 ensured thorough dispersion of the bacteria throughout the mixture. 313

#### 314 **3.4 Sample Preparation**

A total of 126 cube specimens and 108 prism specimens were prepared by pouring the concrete mixes into the molds; then, the specimens were placed onto the vibrating table, ensuring the compaction of the concrete thoroughly. The specimens were left in the molds of the laboratory for 24 hours. Then, the specimens were removed from the molds and placed inside curing tanks for 7, 28, and 56 days until testing. All samples were prepared, and water cured by BS EN 12390-2 [39].

#### 321 **3.5 Details of Test Specimens**

The experimental program contained four main variables representing different 322 mixtures, including varied ratios of PVA fibers volume (1.0, 1.5%, and 2.0%), adding the 323 bacterial solution to the mixing water with a count of 1.08\*10<sup>8</sup> cells/ml (solution 324 concentration of 600 nm/liter) and ordinary concrete as a reference mix. The experimental 325 program comprised 9 cube specimens for each mix and the cracking load was assigned 326 327 accordingly to the control mix. The specimens covered the ages of 7, 28, and 56 days. Similarly, 3 scaled prisms of span 500 mm, depth 100 mm, and width 100 mm were 328 encountered for each mix at two different ages, 28 and 56 days. 18 cubes were disturbed as 329 330 follows for each durability test: sorptivity, absorption, and permeability at 7, and 28 days of 331 age.

For assessing the gain and recovery of compressive and flexural strengths, each mix has 332 at least 6 cube specimens at 7, 28, and 56 days of age. Three of the specimens were 333 undamaged and the other was pre-damaged at about 60%. Then, the specimens are exposed 334 335 to the healing process. The process of healing acts after cracking the specimens with an initial cracking load. The healing process adopted was wet and dry cycles of curing to assess 336 the regain after the healing process through testing again. The duration of curing through 337 the wet and dry cycle healing process is 28 and 56 days of age. It should be mentioned that 338 only those specimens that were pre-damaged at 7 days of curing were exposed to a healing 339

process of 28 days. The others ages: 28 and 56 days were exposed to the healing process atthe same curing ages; 28 and 56 days as well.

#### 342 **3.6 Test Methods**

Several tests were conducted to determine the fresh and hardened state properties of the 343 produced concrete. In addition, various durability tests were carried out to assess the 344 durability of the concrete with PVA or bacteria. Slump tests for the fresh concrete mixes 345 were carried out to determine the workability of the fresh concrete mixes and were 346 conducted as per ASTM C143 / C143M [40]. Slump tests are used to measure the vertical 347 settlement or "slump" of a standardized sample, which starts by filling with concrete the 348 frustum cone into layers. Each layer was tamped 25 times then the mold was removed and 349 inverted, allowing the sample to subside under its weight for 3 minutes. The slump is 350 measured as the difference between the original height and the final settled height of the 351 sample. The slump value indicates the concrete's consistency and flowability, with a higher 352 353 slump indicating higher workability.

The density of the hardened concrete was conducted according to ASTM C642 [41] at 354 the age of 28 days. In this test, the hardened concrete sample is weighed after an oven-dry 355 at a temperature of 100 to 110 °C for not less than 24 hours allowing it to cool in dry air. 356 Then the sample is weighed after being immersed in water at approximately 21 °C for not 357 less than 48 h. Finally, the sample is placed and covered with tap water boiled for 5 h. Then 358 the sample is allowed to cool down not less than 14 h reaching room temperature of 20 to 359 25 °C. The steps should be repeated at each stage until the difference between any two 360 successive measurements is less than 0.5 % of the lowest mass obtained in the case of oven-361 dry and observed an increase in mass less than 0.5% of the larger mass provided in case of 362 water saturation. The bulk density can be calculated through the equations provided by 363 ASTM C642 [41] for oven-dry, immersed, and boiling cases 364

The compressive strength test measures the maximum load a concrete sample, whether 365 cylindrical or cube, can bear before failure when loaded axially in compression. The 366 compressive strength at a given age is evaluated by calculating the average strength of three 367 consecutive samples. This test is carried out to determine the loading capacity of hardened 368 concrete. However, in this study, the compressive strength was measured in two stages. The 369 first stages included testing the control specimens till failure. Thus, the peak load can be 370 known from the very beginning, at 7, 28, and 56 days. The second stage relies on the pre-371 damaged three specimens up to 60% of the peak compressive strength of the un-damaged 372 specimens, or the corresponding load that produces a 0.1 mm crack width threshold or more 373 [33, 42 - 44]. This pre-damaging load level ensured visible cracking was produced to initiate 374 damage while avoiding complete crushing or pulverization of the samples. After initiating 375 cracks at the pre-determined cracking load level, the three pre-damaged specimens were 376 then exposed to wet and dry cycle curing methods at 7, 28, and 56 days depending on pre-377 damage age to allow for self-healing [33, 42 - 44]. The cracked and healed strength obtained 378 after the curing periods was then used to evaluate the concrete mixtures' inherent healing 379 380 capabilities over time at different damage levels and curing durations through compression testing till failure or crushing of the specimen. This testing methodology provided a
standardized approach to deliberately damage samples for quantification of self-healing
performance. Thus, there were two stages: one un-damaged and pre-damaging three
specimens for each mix at each age, as shown in Fig. 3-a and b.

385



Fig.3 Typical cube specimen (a) after failure (control/un-damaged specimen), (b) after pre-damaged by
 60% of the ultimate capacity, and (c) after healing process exposure as per the age assigned for testing
 till crushing failure.

It should be mentioned that the values reported were averaged from the three specimens 389 tested. As shown in Fig. 3-a, a typical control/un-damaged cube specimen was loaded till 390 achieving failure load then a similar cube specimen was loaded till reaching the cracking 391 load, as in Fig. 3-b. The cube specimen was cured by applying the healing scheme according 392 to the assigned age and prepared for testing to failure, as shown in Fig. 3-c. It should be 393 394 mentioned that the undamaged and pre-damaged cube specimens were tested for compressive strength as per BS EN 12390-3 [45] where the specimens were loaded at a 395 pacing rate of 240 kg/cm<sup>2</sup> per minute till the specimen failure using the universal testing 396 397 machine of capacity 2000 kN.

Similarly, the same loading scheme was followed for prism specimens to evaluate the 398 399 flexural strength of the specimen, whether undamaged, pre-damaged, or after exposure to 400 the healing scheme. The flexural test was handled for the control prism specimen to determine the peak load as per ASTM C78/C78M [46]. The specimens were tested on the 401 402 flexural machine under two-point loading after adjusting a pacing rate of 24 kg/cm<sup>2</sup> per minute till failure. Following the similar scheme of cube specimens, the prism specimens 403 were loaded till failure for control/un-damaged prism specimens, as shown in Fig. 4-a. 60 404 percent of the peak flexural load [47 - 49] or the corresponding load for the threshold crack 405 width of 0.1 mm was applied to the pre-damaged the prism specimen, see Fig. 4-b. 406 Thereafter, the specimens were left for curing as per the aging assigned for testing, as shown 407 in Fig. 4-c. 408

The curing regime in which both cube or prism specimens are cyclic wet and dry. The curing process involves submerging the specimens into the water tank and drying them in the air at room temperature, inside the laboratory. The process was repeated successively at 24-hour intervals. This method simulates natural environmental moisture fluctuations that can trigger self-healing in cementitious materials as suggested by Yang et al. [50]. The strength recovery was calculated as the percentage increase in peak load from the postcracking to the post-healing state. During testing, the crack behaviour under loading was

also observed. Additionally, surface cracks were observed before and after healing using a 416

417 visual inspection to make sure that the cracks were sealed. It should be noted that before pre-damaging the cube or prism specimens, the specimens were water-cured until they 418 419 reached the age assigned for pre-damaging.



420 Fig. 4. Typical prism specimen (a) after failure, (b) after pre-damaged by 60% of the ultimate capacity, and (c) after healing process exposure as per the age assigned for testing 421 till failure.

422

#### 4. Experimental Results and Discussion 423

#### 424 4.1 Slump

The slump was tested after putting all the concrete ingredients and mixing them to 425 determine the workability of the concrete after adding PVA fiber or the bacteria as per the 426 mix design. The results showed that the addition of both would reduce workability to a high 427 level. The mix OC, which represents the control provided a slump of 10 cm. However, when 428 adding the bacteria, as in mix BC, the slump reached 0.7 cm which means that the reduction 429 has reached 93% while the slump was reduced more than that by 95% when adding a VF of 430 1% PVA fiber as in mix PVAC1. The slump for mix PVAC1 was 0.5 cm. Similarly, mixes 431 432 PVAC1.5 and PVAC2 were reduced by 97 and 98% when the VF of the PVA fiber added was 1.5 and 2%. When combining both bacteria and PVA fiber (mix BC+PVAC1), the 433 slump reached 0 cm which is a total reduction of 100%. From the results, the slump is 434 influenced by the addition of bacteria and PVA fiber although the replacement of fly ash 435 with cement should enhance the workability. However, fly ash did not counteract the 436 negative impact caused by the bacteria and PVA fiber interactions between additives that 437 dilute cement paste continuity. The spherical surface of fly ash would be the reason for 438 improving the workability or reducing water which was already considered while designing. 439

Topiča et al. [51] have studied the influence of PVA on cement paste in terms of fresh 440 and hardened properties. The results of the flow test revealed that increasing the addition of 441 PVA reduces the slump and workability as agreed with the investigation carried out herein. 442 Topiča et al. [51] suggested that this was due to the viscosity accompanied by the PVA 443 fibers which cause mixing and pouring problems. Hence, increasing the PVA content would 444 increase this viscosity and reduce the slump. Nevertheless, Topiča et al. [51] suggested a 445 hypothesis that required further investigation related to the relationship between the initial 446 and final setting time delay that occurs when using the PVA fiber and hydration process. 447 With their observation of setting times while flow and fresh state it was hypothetically 448 assumed that there might be an unusual bump resulting from the restored unhydrated C<sub>3</sub>A 449 restored by the PVA barrier around the cement grains. These restored hydrated particles of 450

451 C<sub>3</sub>A produce ettringite and thus increase the diffusion of the water to complete the hydration 452 of C<sub>3</sub>A. Another aspect would be the bacteria itself which also showed a reduction in the 453 workability of the mixture BC and BC+PVAC1. This could be attributed to the absorption 454 of the bacteria for more water to deposit layers of CaCO<sub>3</sub> into the cavities, pores, and micro-455 cracks of concrete [52]. Hence, their hydrophilic nature would reduce the water content 456 within the mix. Similar findings were deduced by Zhang et al. [53]. Their results provided 457 that the PVA fibers addition in cementitious composite decreased its workability.

The workability reduction increased from 60 to 30 mm with increasing the PVA volume 458 fraction (0.3–1.2%). Zhang et al. [53] attributed this behaviour to the creation of a network 459 structure by the PVA fibers within the cementitious composite. This action restrained 460 segregation and workability from being possessed. Further, they assumed that some cement 461 particles might be absorbed onto the fiber surfaces and wrapped the fibers around. This 462 behaviour would reduce the effective paste to contribute to the mixture's workability. It 463 should be noted that Zhang et al. [53] added a water reducer to their cementitious mixture 464 which was not countable here in this study as might influence the bacteria environment that 465 was not clarified in any existing literature review. On the other hand, Safiuddin et al. [54] 466 ensured that the bacteria would increase the workability and the enhancement may reach 467 45% higher than that of the control mix at high bacteria dosage. This was different from the 468 469 findings attained here in this study as the slump was reduced when using the bacteria (mix BC). It should be mentioned that similar bacteria in Safiuddin et al. [54] investigation used; 470 the bacteria Bacillus subtilis. Although the enhancement observed by Safiuddin et al. [54], 471 stated that the bacteria activated once it encountered water, feeding on calcium lactate and 472 growing to self-heal the cracks at 48 hours increased to 72 hours at high bacteria dosage. 473 This action contradicted the slump results as this observation would absorb the water from 474 475 the fresh state. This is simply because the bacteria dosage was added at the mixing stage without incorporating it within capsules. Further, an investigation is required in this area for 476 more clarification and demonstration of the influence of the bacteria on self-healing. 477

Hence, the findings confirm the need to use superplasticizers when using bacteria and 478 PVA fiber after exploring the influence of the superplasticizer on the performance of 479 480 bacteria and PVA fiber in the healing process of concrete. Superplasticizers achieve this 481 through their dispersive properties through electrostatic repulsion as dominant [55]. Flatt et al. [55] stated that the superplasticizers in their basic forms such as sulfonated formaldehyde 482 483 condensate (SNFC), lignosulfonates, or salts of polycarboxylic acids (PCA) require specified calculations related to steric repulsion. This dispersive effect increases the fluidity 484 485 of the cement paste, making it easier to uniformly disperse the hydrated cement, bacteria, and fibers throughout the mix [52, 54]. Thus, such behaviour could counterbalance the 486 water-demanding nature of bacteria and the viscosifying effects of fibers, lubricating the 487 concrete matrix, untangling clumps, and preventing re-agglomeration during mixing. The 488 489 enhanced dispersion allows bacteria and fibers to be more equally coated by a hydrated cement paste, reducing frictional interactions that enhance the workability. Hussein et al. 490 [52] have examined the suitable dosage of superplasticizer in their cementitious mixture 491 through different percentiles. They found that as the superplasticizer increased the reduction 492 of water content would reduce reaching 35% at 2.5 liters to each 100 kg cement and reducing 493 the water ratio from 0.42 to 0.27. 494







#### 497 **4.2 Density**

The density of all mixes was measured from the cube specimens in their hardened state 498 before implementing any healing process or pre-damaging to the cube specimen at 28 days 499 of age. As shown in Fig. 6, the density at 28 days of age after hardening decreased slightly 500 with the addition of PVA fiber. The density is an important aspect as it forecasts 501 compressive strength and shows the possibility of the presence of pores or not as the 502 relationship between the density and compressive strength is proportional [56]. In other 503 words, as the density of the concrete mixture increases the compressive strength increases 504 [56]. Nevertheless, very few researchers addressed the influence of adding PVA onto the 505 mortar or concrete mixture. However, most of those exploring the self-healing techniques 506 using the fly ash as partial cement replacement and PVA fiber did not investigate the density 507 508 of the mixture produced either before or after the implementation of the healing process as scanned through the existing literature review [21, 22]. It is anticipated that the fiber would 509 510 increase voids based on the investigation carried out by Topič et al. [51]. They reported that the addition of PVA into the cementitious matrix has increased the porosity and therefore 511 reduced the density which in turn reduced the compressive strength of their mixture. This 512 behaviour was observed using optical and electron microscopy images (SEM) [51]. 513

Yew et al. [57] also explored the low volume fraction of PVA in a cement matrix and 514 found that the PVA attains lower density in both light weight and normal concrete. They 515 attributed this behaviour to lower specific gravity although Shafigh et al. [58] reported that 516 steel fibers which is the most used fiber for improving the mechanical properties of concrete. 517 Their results, contrary to the PVA fiber, revealed that increasing the volume fraction of steel 518 fibers would increase the density of the concrete. Hence, Yew et al. [57] confirm that the 519 low specific gravity would be the main reason for the slight reduction in density while 520 increasing the PVA fiber volume fraction. However, when exposed to their concrete to 521 preheating, the results revealed that PVA fiber tends to displace mortar in concrete. From 522 Fig. 6, the mixes already included the bacteria besides the PVA fibers at different 523 percentiles. As clear, the reduction in density is relevant to the addition of PVA fiber which 524 agrees with the findings of Topič et al. [51] and Yew et al. [57]. As the PVA fiber percentile 525

526 increases the density decreases due to the lower specific gravity which is near to one as

527 addressed in Table 3.



528

Fig. 6. Density results for all mixes at 28 days of age after hardening state properties
before initiating cracks or applying the healing process.

#### 531 4.3 Compressive strength

Compressive strength is a very important aspect of any structural element as concrete 532 can handle compression stresses more than tensile ones. Thus, concrete deterioration and 533 degradation of strength is a crucial issue. The healing and recovery of strength could provide 534 a new era in concrete technology especially when it comes to the repair and maintenance of 535 structures and their operation. Self-healing in this case could be an alternative even though 536 the capital of investment would be high at the beginning. From Fig, 7, both techniques of 537 self-healing enhanced and improved the concrete strength after being cracked. The figure 538 shows gained strength through the ages while initiating cracking through pre-damaged 539 specimens and the recovery gained after applying the healing process of a wet and dry cycle 540 for each mix with a period according to their ages except for 7 days of age, the healing 541 process of cycles wet and dry was applied for 28 days only. The initiation of cracking took 542 place after testing three specimens till failure (un-damaged) and the damaged specimens 543 were created for each mix by applying 60% of its capacity to initiate the crack width of 544 more than 0.1 mm. Fig. 8 shows the crack initiation of the cube specimens before and after 545 encountering the healing process. 546

Usually, the influence of the healing process can be evaluated through the crack closure, 547 548 as shown in Fig.8, and the compressive strength recovery was plotted as presented in Fig. 7 [59]. Zhang et al. [59] stated that the concrete itself cannot heal on its own as the hydration 549 550 reaction of cement particles and the crystallinity of additives is unable to close cracks of this size. The evidence was deduced when leaving the initiated cracked cube specimen after 28 551 days of curing at room temperature. On the other hand, the self-healing granules provided 552 closure for the crack as stated by Zhang et al.[59] where their results revealed that at 7 days 553 of curing 0.963 mm would be repaired in just 7 days. However, it should be mentioned that 554

- the faster healing process is dependent on the types of healing material used whether it is
- 556 bacteria or chemical additives.



(c) 56 days  $^{*}$  The 7 days of age curing was then encountered in the healing process (dry and wet cycle) for 28 days

- Fig. 7. Compressive strength results for the cube specimens for all mixes after hardening 557
- with (pre-damaged) and without (undamaged) applying the healing process at (a) 7, (b) 28, 558 and (c) 56 days of age. 559





After





After



After



BC + PVAC1

Fig. 8. Crack closure of cube specimens at initiating cracks and after applying the healing
process at 28 days of age for mix (a) BC, (b) PVAC1, (c) PVAC1.5, (d) PVAC2, and (e)
BC + PVAC1

In Zhang's study, the materials were self-healing granules, which require no temperature 563 or chemical activation and the inner core of the inorganic mineral of these granules provides 564 good compatibility and long-term stability with cement-based materials [79]. Nevertheless, 565 the healing bacteria used and PVA fiber including the use of fly as mineral additives helped 566 in healing the concrete, as shown in Fig.8. Compared with the control mix, it is clear from 567 Fig. 7-a, b, and c, that the undamaged specimens attain a value of 73, 62 and 62% for mix 568 BC of that of the control mix at 7, 28 and 56 days of age. Similarly, the mixes PVAC1, 569 PVAC1.5, and PVAC2 revealed a compressive strength for the undamaged cube specimen 570 of 61, 64, 55, 54, 40, 40, 54, and 33% at 7, 28 and 56 days of age, respectively. while the 571 compressive strength of undamaged cube specimens for mix BC+PVAC1 showed values of 572 67, 63, and 59% of that of the control mix, at 7, 28, and 56 days, respectively. It should be 573 noticed that the crack widths were not measured as the crack pattern was different than the 574 flexural, as shown in Fig.8. 575

From Fig.7, the compressive strength of cube specimens for BC mix at 7 days has a 576 recovery of 105% after the healing process. While those with added PVA fiber at 1, 1.5, and 577 2% the recovery at 7 days were 79, 104, and 108%, respectively for mix PVAC1, PVAC1.5, 578 579 and PVAC2. The mix BC + PVA 1 was recovered by 93%. Thus, the PVAC2 provided the highest compressive strength recovery which is attributed to the lower compressive strength 580 of the un-damaged cube specimens due to the high PVA volume fraction. This high volume 581 fraction of PVA fiber increased the porosity, which in turn lowered the compressive 582 strength. Also, it should be mentioned that the OC mix might have provided a greater grade 583 at failure stress than other mixes with BC and PVA addition at 7 days of age. 584

At 28 days, the recovery revealed a different trend than that of 7 days. The mix BC provided a strength recovery of 124 %, while the mix PVAC1, 1.5, and 2 provided a recovery of 84, 85, and 90%, respectively. Finally, the mix BC + PVAC1 showed a strength recovery of 104%.

At 56 days, the recovery revealed a similar trend with lower gaining strength. The mix 589 BC revealed a strength recovery of 124%, while the mix PVAC1, 1.5, and 2 retained a 590 strength of 97, 111, and 148%, respectively. However, the mix BC + PVAC1 showed a 591 strength recovery of 112% which is between that of mix BC and mix PVAC1 individually. 592 The mix BC and PVAC2 showed improvement relative to those with mix BC + PVAC1. 593 The reason for the improvement in general along with those of coupled effect was explained 594 by demonstrating the mechanism of using bacteria or PVA fiber or utilizing both combines. 595 In general, lowering the PVA fiber would reduce the porosity and therefore would increase 596 the compressive strength. As clarified earlier through ages, the un-damaged cube specimens 597 for mix PVAC1 were relatively higher than those of the mix PVAC2, which confirms the 598 existence of more voids and lower density [51, 56, 57] as explained earlier in section 4.2. 599 this behaviour would, in turn, reduce the compressive strength of the concrete mixture 600 regardless of the bridging provided by the PVA fibers and the precipitation of high calcium 601 carbonate that would enhance the concrete performance and fill the voids as explained in 602 the next few lines through the studies reported by Feng et al. [21] and Qiu et al. [22]. 603

The mechanism of both bacteria and PVA fiber was reported by Feng et al. [21]. Feng 604 et al. [21] observed the presence of a high concentration of nutrients and some intermediate 605 metabolite or inducible enzyme in inoculated bacterial solution. The growth rate of the 606 607 bacteria decreased with the greater consumption of intermediate metabolite and hence induced enzymes could be developed through the anabolic process of bacteria. The 608 reduction in the growth rate of bacteria was measured by breeding speed and the death rate 609 occurred through metabolite accumulation. However, the results revealed that calcium 610 carbonate possessed in a solution even with different fiber types was all calcite. 611 Nevertheless, both PP and PVA fiber showed no significant influence on the components 612 of products induced by bacteria [21]. Through their XRD, it could be observed that an 613 increase in peak intensity occurs with the inclusion of fiber into the solution and PVA 614 showed higher angles which implies the formation of larger and smaller crystallites [21]. 615 Through their investigation, the particle size was measured to evaluate the volume fraction 616 of calcium carbonate precipitation by PVA fiber, which showed a higher amount of larger 617 particle size of calcium carbonate precipitation. In other words, the PVA presence did not 618 influence the polymorph of calcium carbonate induced by bacteria, but PVA fiber increased 619 620 the particle size of calcium carbonate [21].

The coupled effect of PVA fiber and bacteria was observed when Feng et al. [21] 621 observed white crystals precipitated on the fiber surface of the specimens containing BC 622 and PVA fiber more than those of PVA fiber only. The main elements of the precipitation 623 were C, O, and Ca, confirming the deposition particles were calcium carbonate. In addition, 624 microbial-induced calcium carbonate absorbed on the surface of cracks was observed. They 625 attributed that the contribution of PVA would be due to the high polarity strength in their 626 molecular structures which easily attract the calcium ions and promote the formation of 627 628 calcium carbonate, in addition to, their analysis of the cell parameter which provided close results to those of calcite which means that the hydroxyl groups of crystalline PVA are 629 almost equal to that calcium ion of aragonite, generating aragonite requires a large number 630 631 of magnesium ions or special proteins that are rare inside the paste matrix [21]. Hence, both bacteria and PVA possess nucleation sites for calcium carbonate, inducing calcium 632 carbonate precipitation, which was explained by the high utilization of calcium ions in 633 specimens including bacteria and PVA fiber, in addition to, the difference in particle size 634 for calcite generated in solution for mixes including PVA fiber than those with bacteria 635 636 only.

On the other hand, Qiu et al. [22] observed the mechanism of PVA fiber with other 637 mineral admixtures such as the slag, and deduced that the healing products, distinct from 638 639 the matrix, grew in the crack and almost filled the 100 µm gap. The healing products are composed of irregularly precipitated crystal-like particles. While the large particles (> 640 10 $\mu$ m) fill the major portion of the gap, smaller particles ( $\leq$  10  $\mu$ m) can be found in the void 641 642 between the large particles and the crack surface. the crystal-like particles grow from very small precipitates, several hundreds of nanometers in size, and precipitate on the PVA fiber. 643 Fiber bridging might very likely facilitate the precipitation of healing products and promote 644 healing in Engineering cementitious concrete (ECC). Through the EDX, the healing 645 products composition was identified and were as follows; calcium, silicon, and carbon 646 possess the presence of CaCO<sub>3</sub> and/or C-S-H as the main products, in addition, the Ca/Si 647 ratio of the healing products for the samples was in the range of 5.63 to 7.18, which nearly 648 agrees with Feng et al. [21] findings. The possession of free Ca<sup>2+</sup> ions and their 649

650 concentration is related to the  $Ca(OH)_2$  dissolved and the alkalinity of the pore solution in 651 the matrix, which in turn produces more  $CaCO_3$  which is considered the main dominant

651 the matrix, which in turn p 652 healing product in samples.

The crack closure results revealed also unique trends based on Fig.8. Mixes BC, 653 PVAC2, and BC + PVAC1 showed the maximum crack closure and the disappearance of 654 crack propagation onto the surface of the cube specimens as shown in Fig. 8-a, b, and e. 655 Similarly, the mixes PVAC1 and PVAC1.5 showed the healing of some cracks but in lower 656 number and width than that of the other mixes. Cracks with a maximum width of 0.3 mm 657 are not visible for all the specimens. This suggests that the self-healing bacteria and PVA 658 fibers along with the addition of fly ash as mineral admixtures have deposited the chemical 659 reaction form of calcium bicarbonate causing physical and chemical reactions to bond and 660 seal the cracks. These results agree with the findings of Feng et al. [21] and Qiu et al. [22]. 661 Their result confirms the coupling effect of using bacteria and PVA, in addition to their 662 benefit to the crack healing process. Hence, the closure of the crack width would in turn 663 reduce the porosities and regain the compressive strength of the concrete mixture. 664

665

# 666 4.4 Flexural strength

667 Similar to compressive strength and more crucial is flexural strength as most of the 668 concrete structure elements are subjected to bending moments which generate tension on 669 concrete causing cracking. Fig. 9 shows the results of the pre-damaged and undamaged 670 prism specimens for flexural at 7, 28, and 56 days of age. It should be mentioned that the 671 curing methods adopted as per the age of testing expect for 7 days the healing process took 672 around 28 days of age after pre-damaging the specimens.

673 Compared with the control mix, it is clear from Fig. 9-a, b, and c, that the undamaged 674 specimens attain a value of 73, 61, 54, 40, and 67% for mixes BC, PVAC1, PVAC1.5, 675 PVAC2, and BC+PVAC1 of that of the control mix at 7 days of age. Similarly, at 28 days 676 of age, the undamaged cube specimen for mixes BC, PVAC1, PVAC1.5, PVAC2, and 677 BC+PVAC1 revealed a value of 62, 64, 52, 54, and 63%, respectively. Finally, the 678 undamaged cube specimen at 56 days of age provided a value of 62, 55, 40, 33, and 59% of 679 that of control for mixes BC, PVAC1, PVAC2, and BC+PVAC1, respectively.

Feng et al. [21] explored the repaired area in prism specimens for those with bacteria 680 and PVA fibers and with coupled inclusion through a prism specimen tested in bending to 681 create mid-crack. The exploration was performed by stereo microscopic and binarization 682 683 images of crack surfaces to measure the crack width and the repaired area. In general, their results revealed that the control specimens retained 31% on average of their crack repaired 684 after 7 and 28 days of age which was attributed to the continuous hydration of cement paste 685 carried out in the crack inner surfaces and carbonization reaction. However, the prism 686 687 specimens with PVA fibers achieved about 44 to 50 % at 7 and 28 days of age indicating the improvement of the healing process using the PVA fibers. In the current study, the crack 688 width was measured as shown in Fig. 10. The crack width closure reached nearly 95% of 689

that of the original crack width. Similar findings were found in the literature [14, 22, 61]

- 691 that the PVA fibers provided the most reliable healing and recovery enhancement among
- 692 the other fibers (i.e., PP fiber, etc.). Feng et al. [21] also reported that the mixtures with
- bacteria provided more repaired areas achieving over 50 and 74 % of the cracked area after
- 694 7 and 28 days of implementing the healing process. These findings were similar to those
- 695 observed by Luo et al. [61].

From Fig.9, the flexural strength of prism specimens for BC mix gains strength recovery
of 105, 124, and 124% after the healing at 7, 28, and 56 days of age. While those with added
PVA fiber at 1, 1.5, and 2% the recovery at 7 days were 79, 84, 97, 104, 85, 111, 108, 90,
and 148%, respectively for mix PVAC1, PVAC1.5, and PVAC2 at 7, 28 and 56 days of age.

700 Feng et al. [21] also observed the coupling of the effect through the mortars with a 701 combination of PVA fiber and bacteria which attain a higher healing area of the cracks for the prism specimens than those with PVA fibers only, reaching 55.2% and 64.6% at 7 and 702 28 days of age. Nevertheless, their observation showed lower values of repaired area for 703 cracks in prism specimens than those with bacteria only. Their reasons were around two 704 705 main aspects; one was that bacteria induced more calcium carbonate into those mixes with 706 fibers and the second was the fiber attracted some calcium ions dissolved and captured on the fiber surface deposited within the inner crack surface which in turn inhibited the bacteria 707 708 activity and produced lower calcium carbonate concentration on the inner crack surface than those mixes with bacteria only. This behaviour would be ascribed to the larger particle size 709 710 of calcium carbonate posses by the PVA fibers in addition to the absorption property which the PVA fiber might utilize in absorbing more bacteria accelerating the precipatation of 711

712 carbon dioxide on the crack surface and initiating the generation of calcium carbonate.

The mechanism was discussed by several researchers [21, 62 – 64, 65]. For instance, 713 Feng et al. [21] studied the prism specimen under bending as stated earlier, and showed the 714 results provided un-damaged and cracked specimens. In general, all of the specimens with 715 716 bacteria, PVA fibers, or a combination of both showed lower flexural values than those of the control which agrees with the current study. They attributed that the bacteria medium 717 would have encountered an adverse influence on the flexural capacity of the mixtures. This 718 behaviour was observed by the uneven distribution and volume expansion initiated by the 719 720 calcium carbonate inclusion resulting in microcracks in the carbonated zone [62 - 64]. Similarly, the PVA fiber mixture provided some voids due to the volume fraction, and thus, 721 the same observation was denoted for the mixture with both bacteria and PVA fiber in which 722 723 the flexural strength of the prism specimens is lower than that of the control. The behaviour 724 as clarified earlier is similar to the result attained in the current study; however, there was 725 no opportunity to measure the cracking area using stereo microscopic and binarization images of crack surfaces. Nonetheless, the PVA fiber cracked/pre-damaged specimens 726 attain the role of stress transferring crossing the cracks in a bridging manner while 727 728 preventing crack propagation which influences the flexural strength capacity relevant to the

- 729 cracked/pre-damaged specimens with bacteria and combined; bacteria and PVA after
- 730 implying wet/dry cycle regime [65].



(c) 56 days \*The 7 days of age curing was then encountered in the healing process (dry and wet cycle) for 28 days

Fig. 9. Flexural strength results for the prism specimens for all mixes after hardening with
(pre-damaged) and without (undamaged) applying the healing process at (a) 7, (b) 28, and
(c) 56 days of age.
However, the mixes with bacteria and PVA fiber provided a lower flexural strength
compared to those of PVA fiber only. Flexural recovery attained by mixes with PVA1 fiber
and bacteria was 23%, which was slightly lower than that for specimens with PVA1 fiber
only (24%). Thus, it was concluded that fiber addition played a vital role in flexural

- recovery, especially with crack widths reaching  $0.4 \pm 0.1$  mm. Similar results were deduced when investigating the coupling effect of bacteria and PVA fiber which proved an
- 740 unsignificant influence on the flexural regain [66]. This was relevant to the surface
- 741 mineralization treatment with calcium carbonate [66] and the precipitation amount on PVA
- 742 fiber surfaces, concluding better performance to those of PVA fiber than those of bacteria
- and PVA fiber combined. Further, Chen et al. [67] reported that immobilizing the bacteria
- record retain 72% of the flexural recovery while 50 to 80% when adding fibers [68].
- In conclusion, selecting the carrier for products possessed by bacteria would result in
  enhancing the flexural regain of the cracked mixture, hence, the coupling influence of
- 747 bacteria and PVA fiber was observed in the high crack area closure rate.
- 748



Before

After

# Fig. 10. Crack closure of prism specimens at initiating cracks and after applying the healing process at 28 days of age for mix BC + PVAC1

751 The mix BC + PVAC1 was recovered by 93, 104, and 112% at 7, 28, and 56 days of age. Thus, the BC + PVAC1 provided the highest compressive strength recovery. It should 752 be mentioned that the OC mix might have provided a greater grade at failure stress than 753 other mixes with BC and PVA addition at 7 days of age. Due to the difficulty in measuring 754 and getting more photos for the flexural prism specimens before and after the healing 755 process, only mix BC+PVAC is presented, as shown in Fig.10. The figure shows the closure 756 757 of the crack of 0.3865 mm after 28 days of curing exposed to wet and dry cycle have reached to 0.0714 which means that nearly 95% of the crack width was closed and filled with PVA 758 and the bacterial reaction that possessed more calcites as illustrated by Zhang et al. [59]. 759

760 It should be noted that Shaaban et al. [69] revealed similar results in terms of 761 compressive and flexural strength for the bacterial mix; Mix BC as their results provided a high gain in strengths when using B. subtilis at  $10^8$  cells/ml, not  $10^5$  cells/ml as per their investigation and experimental program which is similar to the findings in both compressive and flexural strengths revealed here in this study.

#### 765 **4.5 Absorption Percentile**

Absorption is one term that can determine and evaluate the durability of concrete. An average of three cube specimens was reported. The test surfaces should be at the same distance as the exposed distance from the original exposed surface of the concrete. Then, the specimens should be handled in an environmental chamber at a temperature of 50°C and relative humidity of 80% for three days and then sealed in that specimen. The specimens are arranged with a space to allow for airflow in between and stored at room temperature.





779

Fig. 11. A typical cube specimen under absorption testing

After a minimum of 15 days, the specimens were vacuumed while sealing the end face with plastic sheets using a vacuum pump for 3 hours and exposing it to tap water through the vacuum chamber. The specimens were soaked for 18 hours underwater and then weighed the specimen. The side surface and one end that is not exposed to water were sealed with a plastic sheet that can be secured using an elastic band. The initial mass was weighed and then specimens were placed into tap water with a height of 3 mm using supports. Then the specimens were weighted at several intervals of time [41], as shown in Fig. 11.

The records are then plotted and presented, as shown in Fig. 12. Absorption rate for the 787 bacterial mix showed the lowest values among all the mixes which means that the bacteria 788 possessed a reaction that would increase the existence of more calcite closing all the pores 789 inside the concrete or at least the network between pores would be closed. On the other 790 hand, the mixes PVAC1, PVAC1.5, and PVAC2 which contain PVA fibers would have a 791 higher absorption rate than the other mixes including the control "OC". This is attributed to 792 the absorption rate of the fiber itself stated by Jain et al.[70], Shen et al.[71], and Li et 793 al.[72]. They stated that the PVA fibers have a high intake of water and can reach 41.97 % 794 moisture adsorption content at 8 hours of water exposure. They confirmed that the outer 795 796 pure PVA tissue would get saturated for longer water exposure of water. Nevertheless, adding the bacteria with the lowest percentile of PVA (1%) as in mixBC+PVAC1 the ab-797 798 sorption rate would be reduced reaching a minimized value between those of mixes BC and PVAC1. It should be mentioned that mix PVAC1 showed the lowest among the mixes with 799 800 PVA fibers as it contains the lowest volume fraction of 1% while the other contains 1.5% and 2%. From the results, it is very clear the effectiveness of using PVA fibers in healing 801 802 the concrete.

On the other hand, Feng et al. [21] have measured both the absorption and sorptivity of 803 the mixes. Their results revealed that the absorption with the control specimen was the 804 highest among all the other mixes and the absorption rate reduced with time. Similarly the 805 806 specimens for mix with bacteria but still lower compared to the control specimens. They attributed this reduction to the fact that the precipitated calcium carbonate is conducive to 807 reducing water uptake on the surfaces and inner cracks induced by bacteria, in addition to, 808 the swelling due to pore size reduction [73, 74]. The absorption for mixes with PVA fiber 809 was lower than that of control since fiber could refine the pore structure by subdividing 810 them into small pores [75], in contrast to the current results here in this study, in which the 811 PVA fiber showed higher absorption due to the absorption property attained by the PVA 812 fiber. Feng et al. [21] results confirmed that the fiber diameter influenced the absorption 813 reduction the lower the diameter the higher the absorption reduction. This action was 814 demonstrated by well-dispersed shorter fiber that could increase the tortuosity and 815 roughness of cracks. This behaviour would in turn reduce the rate of water surpassing the 816 cracks [76, 77]. Their results, also, revealed that the fiber provided more efficiency in 817 improving the water tightness of cracked mortars than those of mixes with bacteria since 818 the absorption rate of mixes with fiber showed lower values than those of bacteria. On the 819 other hand, the mixes in which both bacteria and fiber provided lower absorption than those 820 mixes with fiber or bacteria only. In conclusion, their investigation reported that the 821 822 inclusion of both PVA fiber and bacteria efficiently enhanced the water tightness for healed 823 cracks by sealing the voids and pores.



824 825

Fig. 12. Absorption rate of the mixes at 28 days

#### 826 4.6 Sorptivity

Sorptivity, or capillary suction, is the transport of liquids in porous solids due to surface tension acting in capillaries [78]. It is a function of the viscosity, density, and surface tension of the liquid, and the pore structure (radius, tortuosity, and continuity of capillaries) of the solid [78]. Sorptivity is a material's ability to absorb and transmit water through it via

capillary suction and provides an engineering measure of microstructure and properties 831 important for durability[79]. Sorptivity is increasingly being used as a measure of concrete 832 resistance to exposure to aggressive environments. The water sorptivity test is a 833 834 unidirectional absorption test [79, 80]. Usually, the specimens are coated with epoxy from their sides and placed into water and Ca (OH)<sub>2</sub> to measure the suction rate of water using 835 capillary conceptual. Fig. 13 shows the specimen under testing while pressuring the water 836 for testing sorptivity and evaluation rate of absorption unidirectional using scaled grade as 837 per ASTM C1585 [80] regulations. 838

839



840

Fig. 13. A typical cube specimen under sorptivity testing [79, 80]

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842 Fig. 14 shows the depth value exposed to water because of suction capillary action for the cube specimen of each mix among the six mixes. The mixes showed a similar results 843 844 trend to the absorption rate. The mix BC showed a lower suction rate as the pores mostly was closed by calcites [70]. The mixes with PVA fiber showed high suction rates especially 845 those with high VF (2%) as in mix PVAC2. The addition of BC to the PVA fiber as in the 846 847 mix BC+PVAC1 provided a suction rate nearly to that of mix BC which means that the bacteria have a high influence in reducing the absorption rate of the PVA fiber because of 848 depositing more calcite onto the surface of PVA fibers [38]. 849

Few researchers have studied the sorptivity [21, 22, 81, 82]. Feng et al. [21] explored the sorptivity of the cracked specimens after healing for 28 days and found similar trends to those of absorption results. On the other hand, un-damaged specimens for mixes with bacteria showed a higher rate of sorptivity than that of control samples. In other words, the bacteria inclusion provides lower water resistance than the control specimens since retarding effect occurred by sucrose [81, 82] in the bacterial medium.

However, mixes with bacteria or PVA fiber or both provided conversely, lower rates 856 than that of control samples at reduction values below 50%, in contrast to 120% for the 857 control specimens which means a significant increase in initial sorptivity coefficient for 858 samples after cracking. Specimens with PVA1 fiber and bacteria showed lower sorptivity 859 coefficient values than those of samples with PVA1 fiber or bacteria respectively. It 860 indicated that the coupling effect of bacteria and PVA1 fiber could be conducive to an 861 increased water resistance of mortars. Comparing results of area repair rate, capillary water 862 absorption, and sorptivity reduction for self-healing, it could be inferred that more calcium 863 carbonate precipitated in the inner instead of surfaces of cracks for specimens with fiber and 864 bacteria compared to those specimens with bacteria only. 865







Fig. 14 Depth exposed to water

#### 868 5. Conclusion and key findings

The study consisted of six mixes that were cast, including control mix, B. subtilis 869 870 bacteria, and PVA fiber addition at various percentile (1, 1.5, and 2%). The sixth mix presented the coupling effect where the bacteria and the mixes with PVA fiber addition at 871 VF of 1% were combined. Mechanical properties in terms of compressive and flexural 872 strengths at 7, 28, and 56 days were evaluated after initiating cracks and the healing process 873 applied. Furthermore, durability testing was carried out through absorption rate and 874 sorptivity evaluation for the specimens after exposure to the healing process of wet and dry 875 cycle curing at 28 days. The results provided in terms of mechanical properties and 876 durability of concrete revealed that the coupling would provide optimized results between 877 those using bacteria and PVA fiber addition. Nevertheless, bacteria production requires a 878 very difficult process for cultivation and growth as well as serious precautions such as the 879 environment of adding the bacteria in concrete would be damaged while mixing. However, 880 the results showed the following: 881

In terms of fresh state properties, the addition of bacteria and PVA fibers reduced the workability, and it was recommended to use the superplasticizer in this case. Yet no researcher investigated the different types of waster-reducer based on the bacteria environment or their influence on the PVA fiber addition while being added with fly ash as a mineral admixture.

It was observed that the density revealed nearly similar values to that of the control;
however, when adding the PVA fibers the density decreases due to the PVA fiber specific
gravity and the lower density is due to the creation of more voids when using the PVA
fibers.

The mechanical properties of both the comprehensive and flexural strength revealed a similar trend. The results revealed that the coupled effect would provide an optimized strength in between the PVA of 1% and Bacteria with a count of  $1.08*10^8$  cells/ml, solution concentration of 600 nm/liter. The best performance was revealed with those of bacteria addition and resulted in enhanced strength compared with that obtained with the other mixes; however, precautions should be taken during production. The second mix is one with a PVA addition of 2%; however, ball formation might be the reason for the reduction of its general grading from the very beginning as noticed when compared to the strength of conventional concrete. Nevertheless, it provides increased strength with age (56 days).

The durability evaluation of concrete in terms of sorptivity and absorption rate indicated the influence of the bacteria was better than that of PVA fiber addition. The durable concrete should have lower sorptivity and low rate of absorption; however, due to the PVA fiber behaviour for the intake of water, both the sorptivity and the absorption rate were affected. The addition of bacteria, on the other hand, lowered the sorptivity instead along the cycle period.

Further investigation is required to study the techniques on an individual and coupled basis for further implementation of techniques in repairing the deteriorated concrete structures and how it would deal with the corroded steel reinforcement on short and longterm behaviour

910 In summary, the key findings from the investigation are as follows:

The bacterial self-healing mechanism facilitates pore closure in the concrete matrix by precipitating calcite crystals that grow and spread from nucleation sites on the bacterial cell

- 913 <mark>walls.</mark>
- 914 This crystallization process progressively seals pores and cracks, reducing pathways for 915 moisture ingress.

916 The coupling effect, consisting of combining the bacteria and the mixes with PVA fiber

addition at VF of 1%, provides optimized results between those using bacteria and PVA
 fiber addition.

In terms of fresh state properties, the addition of bacteria and PVA fibers reduced the
 workability, and it was recommended to use the superplasticizer in this case.

- 921 With regards to the durability of the concrete in terms of sorptivity and absorption rate, 922 the addition of bacteria has a more positive impact than that of the PVA fibers.
- 923 Compliance with Ethical Standards
- 924 The authors declare that they have no conflict of interest.
- This article does not contain any studies with human participants or animals performed
  by any of the authors.
- 927 The authors declare that they have no known competing financial interests or personal
  928 relationships that could have appeared to influence the work reported in this paper.

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